Privacy Policy

Effective Date: September 30, 2011 Last Updated: June 12, 2025

Samsung Securities Co., Ltd. (hereinafter referred to as "the Company") places great importance on protecting your personal information and complies with the Personal Information Protection Act, the Act on Promotion of Information and Communications Network Utilization and Information Protection, and other relevant laws and regulations. This Privacy Policy explains how your personal information is used and the measures the Company takes to protect it.

In accordance with Article 30 of the Personal Information Protection Act, the Company has established this Privacy Policy and makes its contents publicly available on its website. The Company and its affiliates strictly adhere to this Privacy Policy.

This Privacy Policy informs you about the use of personal information and the protective measures implemented by the Company.

The personal information you provide to the Company via this website, consent forms for the collection, use, and provision of personal (credit) information, telephone (wired or wireless), email, or other means will be used for the purposes described in this Privacy Policy. These purposes include providing you with the products and services you have requested or may be interested in, understanding customer needs, collecting customer feedback, offering employment opportunities, or fulfilling purposes required by applicable laws or regulations. The information you provide to the Company will be a valuable resource in improving the services and related products provided to you.

This Policy also applies to the processing of personal information related to customers, suppliers, and partners, both by the Company and by third parties acting on its behalf.

The Company retains personal information until the purpose of its collection has been achieved. After the purpose has been achieved, personal information is destroyed unless its retention is required for compliance with applicable laws and regulations, accounting standards, or for the protection of the Company's interests. Generally, the retention period is minimized in accordance with applicable laws and regulations in the relevant jurisdiction.

The table of contents of the Privacy Policy is as follows.

- 1. Categories of Personal Information Processed
- 2. Purpose of Processing Personal Information
- 3. Consent for the Processing of Personal Information and Methods
- 4. Processing and Retention Period of Personal Information
- 5. Personal (credit)Information Items and Legal Grounds for processing without Consent of the Data subject
- 6. Provision and Sharing of Personal Information with Third Parties
- 7. International Transfer of Personal Information
- 8. Entrustment of Personal Information Processing
- 9. Rights and Duties of Data Subjects and Legal Representatives and Exercise Method of Rights

- 10. Procedures and Methods for Destruction of Personal Information
- 11. Matters on Installation, Operation, and Rejection of Automatic Personal Information Collection Devices
- 12. Guidelines for Collection, Use, and Rejection of Behavior-Based Information
- 13. Measures to Ensure Personal Information Security
- 14. Personal Information Protection Officer and Personal Information Management Department
- 15. Consultation and Reporting On Personal Information Infringement
- 16. Department of Personal Information Access and Requests
- 17. Criteria for Additional Use or Provision of Personal Information
- 18. Processing of Pseudonymized Information
- 19. Notification Duty for Changes to the Privacy Policy

Article 1: Categories of Personal Information Processed

The Company collects only the minimum necessary personal information required for membership registration and service use.

Within the scope permitted by applicable laws and regulations, the Company may collect your personal information when you access this website or use its services. This information may include your ID, password, name, resident registration number, address, contact information, phone number, email address, and "cookies" (refer to Article 9 below). However, optional information such as occupation, hobbies, and areas of interest is not mandatory, and not providing it will not restrict your ability to use the services.

The Company collects and uses required and optional information for the provision of products and services as follows:

You have the right to refuse the collection and use of your personal information as described below. However, refusal to provide required information may result in limitations, such as the inability to open accounts or access financial transaction services. Additionally, the Company will not deny service to you solely based on your refusal to consent to the collection and use of optional information.

| Name of Service | Items of Personal Credit Information | | | | | |
|--|--------------------------------------|--|---|--|--|--|
| Personal Informatio n of Financial | Compulsory | Personal Identification Information | Name, Date of Birth, Unique Identification Information (Resident Registration Number, Foreign Registration Number, Passport Number, Driver's License Number), Address, Contact Information, Occupation, Nationality, Residency Status, Online ID, Password, Email, Encrypted Unique Identifier (CI) | | | |
| Transactio n Client and Relevant Party | Information (Common) | Transaction Media Information | Media Type, IP Address, MAC Address, Device Information (CPU/HDD details, UUID, and other media identifiers), ID, Identity Verification Questions and Answers for Online Service Enrollment, Cookies, Visit Date and Time, Service Usage Records (limited to online financial transactions), Malware Information (detection time, type, package name), Remote | | | |

| | | | Control App Installation Status, Installed App Information |
|--|---|--|---|
| | | Diligence on ID) | Customer Due Diligence (CDD): Name, English Name, Date of Birth, Unique Identification Information (Resident Registration Number, Foreign Registration Number, Passport Number, Driver's License Number), Address, Contact Information, Occupation, Nationality, Country of Affiliation, Country of Residence, Real Name Verification Documents (including issuance date), Gender, Special Relationship Information (e.g., agent, dient) Enhanced Due Diligence (EDD): Purpose of Transaction, Source and Origin of Transaction Funds, Estimated Monthly Transaction Frequency and Volume, Income/Asset Information (for high-net-worth individuals), Workplace Information (for employees), Business Information (for self- employed individuals) |
| | Financial Transaction Information | | Type of Products and Services, Transaction Conditions, Transaction Date and Time, Amount and Transaction Settings, Account Numbers at Other Financial Institutions (for non-face-to-face account opening purposes only), Other Generated Information |
| | Representative, etc. or Related Party Information | | Name, Unique Identification Information (including Date of Birth), Address, Contact Information, Occupation, Nationality, Relationship with the Data Subject, Email, Gender * For foreign agents: Passport Number, Date of Birth, Gender, Foreign Registration Number (if treated as a domestic national), Nationality, Country of Residence, Actual Overseas Address (for non-residents) |
| | Personal Inform Users of the ⁻ Investment | Today's | Name, Resident Registration Number, Address, Mobile Phone Number, Behavioral Data (Device ID, Connection IP, Investment Preferences, Interests, Keywords, App Visit Date and Time, Device Type and Model Number, OS Version, Screen and Usage Time) |
| Compulsory Information (By instrument/ product) | Savings products, Long-term Tax- deductible Funds, Individual Savings Accounts (ISA) | Tax preferen ce/ advanta ge informati on | Information for determining eligibility for tax benefits by product type (e.g., Annual Income, Income Type, Youth Status (ages 15–29), Military Service Status, Agricultural or Fisheries Worker Status, etc.) |
| | Non-taxable Comprehen sive Savings | Subscrip tion requirem | Disability Status, Independence (National) Merit Beneficiary Status, Agent Orange Exposure Victim Status, Injury Status from the May 18 Democratic Uprising |

| | | Accounts | ent informati on | | |
|----------------------------|---------------------------|--|---|---|--|
| | | Retirement Pension Plans (Defined Contributio n/DC, Individual Retirement Plan/IRP) | Informati on on untaxed amount | Annual Retirement Pension Income Tax Deductions | |
| | | Bancassuran ce | Insuranc e informati on | Information related to the insured's illness/injury, Insurance Contract Information, Insurance Payment Information (including accident details) | |
| | | (Credit | Delinque ncy informati on | Outstanding Receivables Information, Tax Delinquency | |
| Optional | | mation as; Workplace Name, Job Title, Date of Birth, Marital Status, Wedding nily Information, Financial Assets, Income Information, Hobbies, Acquisition Channel, ivation | | | |
| Personal Information of | Compulsory Information | Customer Name | e, Mobile Ph | none Number, Email Address | |
| Potential Client | Optional Information | Address Information (Home/Workplace), Date of Birth, Marital Status, Occupation, Hobbies | | | |

Article 2: Purpose of Processing Personal Information

Within the scope permitted by applicable laws and regulations, the Company collects and uses personal information for the purposes outlined in this Privacy Policy. The specific purposes of collection and use based on the categories of personal information collected are as follows:

| Service | | |
|--|---|---|
| | Compulsory Information (Common, By product/instru ment) | Issuance of user IDs for membership registration and verification of identity for subscription-based services. Confirmation of contract execution, including the purchase and sale of deposited securities. Delivery of notices and smooth communication for handling complaints and grievances. Management of customer information, audits, monitoring, and provision of documentation related to service usage, such as transaction histories and balance statements and delivery of confirmation documents on current status of use of information by the Company. |
| Financial Transaction Client and Relevant | | Operation of a call center to handle requests for account suspension related to telecommunications and financial fraud. Identity verification services (identity authentication). Outsourced debt collection. Value-Added Network (VAN) services (including cash withdrawals via CD/ATM and CMS automatic transfers). Dispatch of account balance and transaction reports. Opening of linked accounts with financial institutions (outsourced real-name verification services). Document maintenance and dispatch of SMS messages, etc. |
| Party | | Collection of usage statistics, including frequency of visits to the website, to inform and reflect on service policies, expansions, and updates. |
| | | Today's Investment App Users: Membership registration, issuance of user IDs, and identity verification for subscription-based services. |
| | | Installed App Information: Collection of threat-related app information, limited to apps that pose risks, to prevent electronic financial transaction incidents. |
| | Optional Information | Introduction and solicitation of products and services, customer appreciation and promotional events, market research, product development, customer consultations related to services, and provision of supplementary services, etc. |
| Potential/ Prospective Client | - | Provision of financial services and investment information. Utilization of retirement planning systems. Dispatch of event and seminar gifts or promotional items. |

Article 3: Consent for the Processing of Personal Information and Methods

The Company obtains/acquires your consent for the collection, use, and provision of personal (credit) information through consent forms for the collection, use, and provision of personal (credit) information. This consent may be obtained through online methods, telephone communication, or in-person visits. For children under 14 years of age, the consent of a legal representative is required.

Article 4: Processing and Retention Period of Personal Information

The Company retains personal information collected from you from the date of consent until the termination of the (financial) transaction. Once the purpose is fulfilled, the information is promptly destroyed.

1. Personal (Credit) Information Related to Financial Transactions are retained and used from the date of consent

until 5 years after the termination of the (financial) transaction.

2. Personal (Credit) Information for Marketing Purposes are retained and used from the date of consent until 3 months after the termination of the (financial) transaction or until the consent is withdrawn.

However, the Company may retain and utilize personal information without destruction in the following cases, in compliance with applicable laws and regulations, or to fulfill obligations requested or ordered by supervisory authorities: Under the Commercial Act, Capital Markets Act, and related financial laws (retention for 10 years or more), and, for investigations of financial incidents, dispute resolution, complaint handling, compliance with relevant tax laws, and other related obligations.

Personal information collected from potential/prospective customers is retained and used for one year for purposes such as introducing and selling products or services, conducting customer appreciation and promotional events, market research, and product development or research.

Article 5 Personal (Credit) Information Items and Legal Grounds for Processing without Consent of the Data Subject

| Legal ground | Service name | Collection purpose | | dit information ems | Retention and use period |
|---|-----------------------|---|---|---|--|
| Paragraph 1(4) ("Contract Performance") of the Personal Information Protection Act | Workplace Platform | Improvement of application, maintenance, implementation, and management of stock-based compensation services Performance of domestic and international legal and tax obligations related to stock-based compensation services Prevention of financial accidents related to stock-based compensation services, and investigation of accidents including disputed complaints Statistics and analysis, risk management, improvement of customer service quality, fee benefits, and customer consultation related to stock-based compensation services | Personally identifiable information | Name, workplace name, employee number, mobile phone number, email, resident registration number and alien registration number | Employee Financial Welfare Solution Service: Until the end of the contract (However, if applicable to other laws, the retention period under the applicable laws shall apply) |

The Company is collecting personal (credit) information in accordance with the laws as follows:

Article 6: Provision and Sharing of Personal Information with Third Parties

The Company and its affiliates do not use your personal information beyond the scope disclosed in this Privacy

Policy, nor do they provide it to others, including third parties, other companies, or institutions, except in the following cases: When you have given your consent. When required by applicable laws and regulations. When necessary to provide the services or products requested by you.

If your personal information is to be provided or shared, the Company will notify you in advance via email or in writing. This notification will include: The identity of the recipient or sharing party and their primary business; the items of personal information to be provided or shared; the purpose for providing or sharing the personal information. The Company will obtain your explicit consent after providing this information.

The third parties to whom the Company may provide personal information for the provision of partnership services or compliance with applicable laws are as follows:

Check the attachment below for [status of third parties such as affiliates].

However, please note and consider that in the following circumstances, your personal information may be provided without your consent as permitted by applicable laws and regulations:

1. When required for statistical creation, academic research, or market surveys, and the data is provided in a form that does not allow for the identification of specific individuals.

2. When required by specific laws and regulations such as the Act on Real Name Financial Transactions and Confidentiality, Credit Information Use and Protection Act, Framework Act on Telecommunications, Telecommunications Business Act, Local Tax Act, Consumer Protection Act, Bank of Korea Act, Criminal Procedure Act, and other laws with special provisions.

3. When personal information is required to be provided by government agencies (including quasi-governmental agencies) or courts.

The personal information provided to partner companies may be retained and processed until the intended purpose is achieved (e.g., the expiration of the service, withdrawal of customer consent, or termination/cancellation of the contract). Once the purpose is fulfilled, the information will be destroyed without delay. However, the information which is required to fulfill obligations under applicable laws, including the Commercial Act, Capital Markets Act, and related tax laws, or to comply with requests or orders from supervisory authorities, will not be destroyed and may be retained for submission and other compliance purposes.

Article 7: International Transfer of Personal Information

The Company transfers only the minimum necessary personal information abroad, and only with the consent of the data subject.

Article 8: Entrustment of Personal Information Processing

The Company and its affiliates partially outsource personal information processing tasks to external specialized

companies to ensure compliance with laws and regulations, fulfill contractual obligations, enhance services, and facilitate efficient operations. When entering into outsourcing agreements, the Company explicitly includes the following provisions in written contracts: Prohibition of processing personal information beyond the scope of the entrusted purpose, Implementation of technical and administrative safeguards, Restrictions on re-entrustment, Management and supervision of the trustee, Liability for damages. The Company provides training to trustees to prevent the loss, theft, leakage, forgery, alteration, or destruction of personal information due to outsourcing. It also supervises trustees to ensure secure handling of personal information by conducting inspections and monitoring compliance with relevant standards.

The trustees entrusted with personal information processing by the Company are as follows: Check the attachment below for [status of third parties such as trustees].

Article 9: Rights and Duties of Data Subjects and Legal Representatives and Exercise Method of Rights

You may access or correct your registered personal information at any time through the Company's website or other online means. You can also verify how the Company uses or provides your personal information to third parties. The rights and obligations related to accessing, correcting, deleting, or suspending the processing of your personal information, as well as the methods for exercising these rights, are detailed below.

To process requests for access, correction, deletion, suspension of processing, or withdrawal of consent, the Company verifies whether the request is made by the data subject or an authorized representative. You may exercise your rights through a legal representative or an authorized agent by submitting a power of attorney.

- 1. Reference/Access to Personal Information
 - You may access your personal information processed by the Company.
 - However, the Company may deny access in the following circumstances:
 - \cdot If access is prohibited or restricted by law.
 - If access could harm another person's life or physical safety, or unfairly infringe on another person's property or rights.
 - You can directly access your personal information through the Company's website under 'Online Branch
 > Customer/Account Information Management > Customer Information > View Personal Information'.
 Alternatively, you may visit any branch of the Company or contact the Family Center at 1588-2323
 to review your information.
- 2. Correction and Deletion of Personal Information
 - You may request the correction or deletion of your personal information processed by the Company. However, personal information that must be retained to comply with applicable laws or supervisory requirements

will be stored separately, while unnecessary information will be deleted without delay.

- You can correct your personal information directly through the Company's website under 'Online Branch
 Customer/Account Information Management > Customer Information > Update Customer Information'.
 For deletion, you may visit a branch of the Company and complete an official 'Personal Credit Information Deletion Request Form'.
- 3. Request for Suspension of Personal Information Processing
 - You may request the suspension of processing for your personal information. However, the Company may reject such requests in the following circumstances:
 - · If required by law or necessary to comply with legal obligations.
 - · If the suspension could harm another person's life, physical safety, property, or rights.
 - If the suspension prevents the fulfillment of a contract with the data subject, and the data subject has not explicitly expressed their intention to terminate the contract.
 - To request suspension, visit a branch of the Company and complete an official 'Personal Credit Information Processing Suspension Request Form.'
- 4. Viewing the Use and Provision of Personal Information

 You can review how your personal information is used for marketing and events or provided to third parties through the Company's website under 'Online Branch > Customer/Account Information Management > Customer Information > View Personal Information Usage/Provision Status.'.

5. Withdrawal of Consent for the Collection, Use, and Provision of Personal Information

 You may withdraw your consent to the collection, use, and provision of your personal information at any time, including consent provided during account opening or membership registration. This can be done through the Company's website under 'Online Branch > Customer/Account Information Management > Customer Information > Update Personal Information Usage/Provision Consent.'

Note that withdrawing certain consents may restrict your ability to transact with certain products, and in such cases, the withdrawal may need to be processed at a branch or via phone.

For children under 14, the consent of a legal representative is required to collect personal information or provide it to third parties. The Company may request information such as the legal representative's name to verify consent.

Legal representatives can withdraw consent, access, or request correction of a child's personal information. The Company does not provide or share a child's information with third parties without consent and restricts the use of the child's information until corrections are completed, if requested.

Article 10: Procedures and Methods for Destruction of Personal Information

When the retention period for personal information has expired, the purpose of processing has been achieved, a service has been discontinued, or the business has ended, the Company destroys the personal information within five days unless there is a valid reason to retain it.

The Company applies the following methods when destroying any processed personal information:

- Electronic Files: Permanently deleted to prevent recovery.
- Printed Materials, Documents, and Other Records: Shredded or incinerated.

However, personal information required to comply with applicable laws, regulations, or supervisory requests (e.g., Capital Markets and Financial Investment Services Act, Credit Information Act) is stored separately and securely using physical or technical measures.

- Separately Stored Information: Name, unique identification information, mobile phone number, address, email, account information, etc.

Article 11: Matters on Installation, Operation, and Rejection of Automatic Personal Information Collection Devices

The company utilizes "cookies," which are small text files stored on your computer's hard disk that are sent by the server operating our website to your browser. Cookies are retrieved and displayed only by the server that transmitted them.

We use cookies for the following purposes:

1. Cookies are used to analyze customers' access frequency and visit time to identify their preferences and interests, enabling targeted marketing and service restructuring.

2. Cookies track information about purchased stocks, financial products, and items of interest to provide personalized services during subsequent transactions.

3. Cookies are used to analyze your participation and visit frequency in various events held by the company, providing differentiated opportunities for participation and tailored information based on individual interests.

You have the right to decide whether cookies are installed. By configuring your web browser, you can allow all cookies, require confirmation whenever cookies are stored, or reject all cookie storage.

If you wish to reject such collection of information and block the cookies, follow these instructions:

How to set to allow/block the installation of Cookies

(Allow/Block Cookies in Web Browser)

- Chrome: Set Web Browser > Privacy & Security > Delete Internet Use History
- Edge: Set Web Browser > Cookies & Site Authorities > Manage & Delete Cookies & Site Data

(Allow/Block Cookies in Mobile Browser)

- Chrome: Set Mobile Browser > Privacy & Security > Delete Internet Usage History
- Safari: Set Mobile Device > Safari > Advanced > Block All Cookies
- Samsung Internet: Set Mobile Browser > Internet Use History > Delete Internet Use History

(Chrome)

- 1 Select [Settings] from the menu.
- ② Click the [Advanced Tab] at the bottom.
- ③ Select [Privacy and Security] > [Site Settings] > [Cookies].
- ④ Select whether to allow or block cookies.
- Viewing Received Cookies
- (Internet Explorer)
- 1 Select [Tools] > [Internet Options] from the menu.
- ② Under the [General Tab], click [Settings].
- ③ Select [View Files].

(Chrome)

- ① Select [Settings] from the menu.
- ② Click the [Advanced Tab] at the bottom.
- ③ Select [Privacy and Security] > [Site Settings] > [Cookies].
- ④ Select [View All Cookies and Site Data].

But, please be advised that, rejecting the cookie installation may result in limited access to some of our services.

We may collect ADID (Android Advertising ID)/IDFA (iOS Identifier for Advertisers) to provide customized services or better advertising environments for Mobile Trading System (MTS) users. ADID/IDFA refers to a random, unique identifier for mobile app users embedded in smartphones. Customers can control ADID/IDFA collection permissions through their smartphone settings.

- How to Disable ADID Collection (Android OS)
- ① Go to [Settings].
- 2 Select [Google (Google Settings)].
- ③ Select [Ads].
- ④ Activate [Opt Out of Ads Personalization].
- How to Disable IDFA Collection (iOS)
- ① Go to [Settings].
- ② Select [Privacy].
- ③ Select [Ads].
- ④ Activate [Limit Ad Tracking].

Article 12: Guidelines for Collection, Use, and Rejection of Behavior-Based Information

The Company collects and uses behavior-based information for purposes such as developing products and services, user analysis, and marketing through personalized advertisements. Behavior-based information refers to online user activity data, such as app usage history, purchase history, and search history, that enables the identification and analysis of customers' interests and preferences.

① The methods and purposes for which we collect behavior-based information are as follows:

| Items of Collected Behavior-Based | User visit records within the app/web service, search and |
|--|--|
| Information | click usage records, advertising identifiers, device-related |
| | information (model, type, family, language), OS information, |
| | country, timezone, IP address, GAID (Google Advertising |
| | ID), IDFA (Identifier for Advertisers), IDFV (Identifier for |
| | Vendors), iOS Limit Ad Tracking settings, network |
| | information, web browser information, app version, city, |
| | region, language, carrier, and library. |
| Methods of Collecting Behavior-Based | Logging major customer actions within the app/web |
| Information | environment. Utilizing tools such as Google Analytics 360 |
| | (mPOP), Google Analytics for Firebase (O2), and Airbridge |
| | (mPOP, O2). Other methods permitted under applicable |
| | laws and guidelines. Automatically collecting and |
| | transmitting data on key user activities within the web/app |
| | using generated information collection tools. |
| Purpose of Collecting Behavior-Based | Development of new products and services using customer |
| Information | service usage statistics. Providing customized |
| | advertisements based on user behavior information. |
| Recipients of Behavior-Based Information | Google, AB180, Cheil Worldwide, and Daehong |
| | Communications. |
| Retention and Use Period of Behavior-Based | Retained and utilized for up to 36 months from the date of |
| Information and Subsequent Processing | collection. Upon expiration of the retention period, data will |
| Methods | be de-identified and used solely for statistical purposes. |

We collect only the minimum behavior-based information necessary for online personalized advertising and do not collect sensitive behavior-based information that could significantly infringe on individuals' rights, interests, or privacy, such as ideology, beliefs, family or relative relationships, education or medical history, and other social activities.

2 Exercising User Control

Users can exercise their right to block the collection and use of behavior-based information by the Company through the following methods:

(Web Browsers)

- Internet Explorer 11 for Windows 10: Tools (T) \rightarrow Internet Options \rightarrow Privacy \rightarrow Advanced (V) \rightarrow Block or Do Not Process Cookies

- Microsoft Edge: Settings \rightarrow Privacy, Search, and Services \rightarrow Tracking Prevention \rightarrow Always use "Strict" tracking prevention in InPrivate \rightarrow Privacy \rightarrow Send "Do Not Track" requests

- Chrome: Settings \rightarrow Advanced \rightarrow Privacy and Security \rightarrow Content Settings \rightarrow Cookies \rightarrow Block third-party cookies

(Smartphones)

- Android: Google Settings \rightarrow Account \rightarrow Select Google Account \rightarrow Ads \rightarrow Opt out of Ads Personalization (may vary by OS version)

- iOS : iPhone Settings \rightarrow Privacy \rightarrow Ads \rightarrow Turn off Limit Ad Tracking (may vary by OS version)

Refuse the use of Google Analytics by installing the Google Analytics Opt-out Browser Add-on (https://tools.google.com/dlpage/gaoptout)

③ Inquiries and Remedies for Behavior-Based Information

For inquiries and remedies related to behavior-based information, please refer to Article 12.

Article 13: Measures to Ensure Personal Information Security

The Company implements the necessary technical, administrative, and physical measures to ensure the security of your personal information, protecting it against loss, theft, leakage, forgery, alteration, or damage. These measures include the establishment of internal management plans and the retention of access records. To fulfill our responsibility for personal information protection, we conduct regular inspections of our personal information protection status and report the results to the Personal Information Protection Officer. The risks related to personal information are managed through Samsung Securities' company-wide operational risk management system. Additionally, in cases of violations concerning personal information protection, disciplinary actions against violators are determined in accordance with a zero-tolerance policy.

We take the following technical, administrative, and physical measures to prevent the loss, theft, leakage, falsification, or damage of your personal information:

1. Administrative Measures

A. Development of internal management plans for the secure handling of personal information.

B. Establishment and implementation of training plans for employees and contractors who directly handle personal information.

C. Regular internal audits according to the internal management plan.

D. Audits to ensure compliance with personal information protection policies.

E. Regular security evaluations and annual audits of company-wide information systems by third-party organizations as required by law.

2. Technical Measures

A. Access permissions for systems are granted on a minimum-necessary basis.

B. Intrusion prevention and detection systems are in place, and 24/7/365 security monitoring is conducted to prevent illegal access and breaches via information networks.

C. Logs of system access are managed, and tampering is prevented through a log management system and regular backups. Document encryption solutions (DRM systems) are implemented to prevent theft or loss of personal information.

D. Anti-virus software and security programs are installed and operated on personal information processing systems and work computers to prevent and treat malicious programs.

E. Critical personal information (e.g., resident registration numbers, account numbers, passwords) is encrypted for secure storage and management.

3. Physical Measures

The Company ensures that the access control systems and equipment are operated continuously to prevent unauthorized access by external individuals. Our headquarters, branch offices, and business locations are equipped with 24-hour CCTV surveillance and Security Company monitoring systems. Restricted areas are locked and accessible only to authorized personnel.

Article 14: Personal Information Protection Officer and Personal Information Management Department

To handle the corresponding complaints related to personal information, we have designated a Personal Information Protection Officer. If you have any inquiries regarding personal information, please contact the officer or the responsible department below. We will respond promptly and sincerely to your questions.

Personal Information Protection Officer

- Full name : PARK, Jae-Ho
- Affiliation/Post : CISO/ Managing Director
- Telephone: 02-2020-8485

Department in charge of Personal Information Management

- Staff in Charge : Senior Manager, KIM, Jai-Hun
- Department : Information Protection Team
- Telephone : 02-2020-8484
- FAX : 02-2020-8148

Article 15: Consultation and Reporting On Personal Information Infringement

Data subjects may inquire about remedies for damages, consultation, or other related issues regarding personal information infringement at the following institutions.

The following institutions operate independently of Samsung Securities. If you are not satisfied with Samsung Securities' internal handling of personal information complaints or remedies, or if you require more detailed assistance, please contact the institutions below:

Personal Information Infringement Report Center (Operated by Korea Internet & Security Agency)

- Scope of Work: Reporting personal information infringement and applying for consultation
- Website : privacy.kisa.or.kr
- Telephone: 118 (no area code required)
- Address: (58324) 9 Jinheung-gil, Naju-si, Jeollanam-do (Bitgaram-dong 301-2)

Personal Information Dispute Mediation Committee

- Scope of Work: Application for personal information dispute mediation, group dispute mediation (civil resolutions)
- Website : www.kopico.go.kr
- Telephone : 1833-6972 (no area code required)
- Address: (03171) 12th Floor, Government Complex Seoul, 209 Sejong-daero, Jongno-gu, Seoul

Supreme Prosecutors' Office Cyber Crime Investigation Team : 1301 (no area code) (www.spo.go.kr)

Korean National Police Agency Cyber Crime Reporting Center : 182 (no area code) (https://ecrm.police.go.kr)

Article 16: Department of Personal Information Access and Requests

Samsung Securities will strive to ensure that data subjects' requests to access personal information are processed promptly.

Department in charge of Reception-Processing of Personal Information Access Request

- Name of Department : Samsung Securities branches, Family Center

- Contact No. : 1588-2323

Article 17: Criteria for Additional Use or Provision of Personal Information

Samsung Securities may use or provide personal information without the consent of the data subject in accordance with applicable laws and regulations.

To proceed with additional use or provision without consent, the following factors shall be considered:

- Whether the purpose of the additional use or provision is related to the original purpose of collection.

- Whether the additional use or provision is predictable based on the circumstances under which the personal information was collected or typical processing practices.

- Whether the additional use or provision unduly infringes on the data subject's interests.

- Whether necessary safety measures, such as pseudonymization or encryption, have been implemented.

× For services involving financial transactions, we minimize the collection of personal information and process tasks by substituting critical personal information with alternative data items when performing additional use or provision.

Article 18: Processing of Pseudonymized Information

Samsung Securities processes pseudonymized information for the following purposes. Any additional information necessary to restore the pseudonymized information to its original state is deleted and managed. Upon the

expiration of the retention period for pseudonymized information, the data is permanently deleted or destroyed (e.g., by shredding or incineration). Records of pseudonymized information processing shall be maintained as follows.

- Purpose of Pseudonymized Information Processing: None
- Retention Period for Pseudonymized Information: None
- Provision of Pseudonymized Information to Third Parties: None
- Outsourcing of Pseudonymized Information Processing: None
- Categories of Personal Information Subject to Pseudonymization: None

- Matters on securement of safety measures for pseudonymized information pursuant to Article 28-4 of the competent law (Duty of Safety Measure for Pseudonymized Information, etc.)

A. Administrative Measures: Development and implementation of internal management plans, regular employee training, etc.

B. Technical Measures: Access control for pseudonymized information, prevention of re-identification, installation of security programs, etc.

C. Physical Measures: Access control for computer rooms, document storage facilities, etc.

Article 19: Notification Duty for Changes to the Privacy Policy

This Privacy Policy was established on September 30, 2011. Any additions, deletions, or amendments due to changes in laws, policies, or security technologies will be publicly notified, along with the reasons and details of such changes.

Privacy Policy Version : v.2.4.10 Establishment Date : September, 30, 2011 Revision Date : June, 12, 2025

Status of third parties

Affiliates

The Company provides certain personal information to the partner companies for purposes such as introducing financial products and offering partnership services. The partner companies are as follows:

| No | Name of Company | Purpose of Information Provision | Provided Information | Maintenance-Use Period |
|----|--------------------------|----------------------------------|------------------------------|-------------------------|
| 1 | Hanwha Life Insurance | Bancassurance | Name, Address, Resident | 5 years from the |
| 2 | DB Life Insurance | (Insurance Contracts) | Registration Number, | transaction termination |
| 3 | MetLife Insurance | | Contact Information, | date |
| 4 | Samsung Life | | Occupation, Payment Bank, | |
| | Insurance | | Account Number, Medical | |
| 5 | Shinhan Life Insurance | | History | |
| 6 | ABL Life Insurance | | | |
| 7 | iM Life Insurance | | | |
| 8 | Hana Life Insurance | | | |
| 9 | Heungkuk Life | | | |
| | Insurance | | | |
| 10 | AIA Life Insurance | | | |
| 11 | Kyobo Lifeplanet | | | |
| | Insurance | | | |
| 12 | Kyobo Life Insurance | | | |
| 13 | Mirae Asset Life | | | |
| | Insurance | | | |
| 14 | NH NongHyup Life | | | |
| | Insurance | | | |
| 15 | KB Life Insurance | | | |
| 16 | Samsung Card | CMA partnership | Name, Address, Resident | Until the conclusion of |
| 17 | KB Kookmin Card | | Registration Number, | financial transactions |
| 18 | Shinhan Card | | Contact Information, | |
| | | | Payment Bank, Account | |
| | | | Number | |
| 19 | SBI Investment Co., | Trust Contracts for Venture | Name, Resident Registration | Immediately after the |
| | Ltd. | Investment Funds and Private | Number, Date of Birth, | maturity settlement of |
| 20 | Daily Partners Co., Ltd. | Investment Funds | Mobile Phone Number, | associations and trusts |
| 21 | UTC Investment Co., | (Comprehensive income | Home Phone Number, | |
| | Ltd. | taxation, capital gains tax | Address, Transaction History | |
| 22 | Intervest Co., Ltd. | filings, and related matters, | | |
| 23 | SV Investment Co., | etc.) | | |
| 24 | Ltd. | | | |
| 24 | Stonebridge Ventures | | | |
| | Co., Ltd. | | | |

| No | Name of Company | Purpose of Information Provision | Provided Information | Maintenance-Use Period |
|----|-------------------------|----------------------------------|----------------------------|-------------------------|
| 25 | Bluepoint Partners | | | |
| | Co., Ltd. | | | |
| 26 | Mirae Asset Venture | | | |
| | Investment Co., Ltd. | | | |
| 27 | STIC Ventures Co., Ltd. | | | |
| 28 | HB Investment Co., | | | |
| | Ltd. | | | |
| 29 | IMM Investment Co., | | | |
| | Ltd. | | | |
| 30 | FuturePlay Co., Ltd. | | | |
| 31 | Anda H Asset | | | |
| | Management Co., Ltd. | | | |
| 32 | JS Private Equity | | | |
| 33 | Meritz Securities Co., | | | |
| | Ltd. | | | |
| 34 | GameChanger | | | |
| | Investment | | | |
| 35 | Eum Private Equity | | | |
| 36 | Premier Partners LLC | | | |
| 37 | AJU IB Investment | | | |
| | Co., Ltd. | | | |
| 38 | IBK Capital | | | |
| 39 | Dimension Investment | Advisory Firm Consultation | Name, Date of Birth, | 5 years from the |
| | Advisory | Service Agreements | Contact Information (Phone | transaction termination |
| 40 | Plain Vanilla | (such as Investment suitability | Number, Email), Address, | date |
| 41 | Vine Investment | determinations and related | Customer Investment | |
| 42 | Golden Tree | services) | Profile, MP Comprehensive | |
| 43 | Inmost Investment | | Balance at Advisory Firm, | |
| 44 | R&A Investment | | Account Number, MP | |
| 45 | Korea WM | | Transaction History, | |
| 46 | Alternative Investment | | Installment Agreement | |
| 47 | Doin Investment | | Status, Fee Status | |
| 48 | Dumulmeori | | | |
| | Investment | | | |
| 49 | Regnum | | | |
| 50 | LIAM Group | | | |
| 51 | Invex | | | |
| 52 | Uprise Investment | | | |
| 53 | GB Asset Management | | | |
| 54 | Pin Asset | | | |
| | Management | | | |

| No | Name of Company | Purpose of Information Provision | Provided Information | Maintenance-Use Period |
|----|--------------------------------|----------------------------------|----------------------|------------------------|
| 55 | Link Investment | | | |
| 56 | Foresight Investment | | | |
| 57 | Daedeok Asset | | | |
| | Management | | | |
| 58 | Cham Investment | | | |
| | Advisory | | | |
| 59 | KCGI Asset | | | |
| | Management | | | |
| 60 | Fruits Investment | | | |
| | Advisory | | | |
| 61 | Wells Investment | | | |
| | Advisory | | | |
| 62 | Route N Global Asset | | | |
| | Management | | | |
| 63 | DeepTrade | | | |
| | Technologies | | | |
| 64 | Moments Investment | | | |
| | Advisory | | | |
| 65 | ESG Investment | | | |
| | Advisory | | | |
| 66 | Quarterback Asset | | | |
| | Management | | | |
| 67 | AP Asset Management | | | |
| 68 | Chesley Investment | | | |
| | Advisory | | | |
| 69 | Robopia Investment | | | |
| | Advisory | | | |
| 70 | TimeValue Investment | | | |
| | Advisory | | | |
| 71 | Beam Investment | | | |
| 70 | Advisory | | | |
| 72 | Granary Investment | | | |
| 72 | Advisory Orbis Investment | | | |
| 73 | | | | |
| 74 | Advisory | | | |
| /4 | Hexagon Investment Advisory | | | |
| 75 | Maitree Co., Ltd. | | | |
| 75 | Thechaeum | | | |
| 10 | Investment Advisory | | | |
| 77 | Jungkyung Investment | | | |
| | Advisory | | | |
| L | Αυτισοί γ | | | |

| No | Name of Company | Purpose of Information Provision | Provided Information | Maintenance-Use Period |
|----|---------------------------|----------------------------------|------------------------------|-----------------------------|
| 78 | InvestWith | | | |
| 79 | Yul Investment | | | |
| 15 | Advisory | | | |
| 80 | NineTree Discretionary | | | |
| | Investment | | | |
| 81 | Mir & Lee Investment | | | |
| 0. | Advisory | | | |
| 82 | PAS Investment | | | |
| 0L | Advisory | | | |
| 83 | Topo & Coco Korea | | | |
| | Asset Management | | | |
| 84 | W&W Investment | | | |
| • | Advisory | | | |
| 85 | Internal Revenue Service | Submission of Documentation | Name, Date of Birth, | 5 years from the |
| | (IRS) | for U.S. Source Income | Address, Contact | transaction termination |
| | | Taxation | Information, SSN or ITIN, | date |
| | | TUNUTURI | FTIN, Income Amount, | Guic |
| | | | Income Type, Withholding | |
| | | | Tax Amount, and Other IRS- | |
| | | | | |
| 00 | | Tau flian ann iana fan Cauital | Requested Data | t hatil the second using of |
| 86 | The One Tax Firm | Tax filing services for Capital | Name, Unique Identification | Until the conclusion of |
| 87 | JoyTax Tax Firm | gains tax for overseas stock | Information, Address, | financial transactions |
| 88 | STC Tax Firm | transactions | Account Number, Overseas | |
| 89 | Hankyung Tax Firm | | Stock Transaction History, | |
| 90 | Value Tax Firm | | Contact Information | |
| 91 | Yeosol Tax Firm | | | |
| 92 | Darim Tax Accounting | | | |
| 93 | Anguk Glotax Tax Firm | | | |
| 94 | NICE Credit | Evaluation of delinquency | Resident Registration | Retention period |
| | Information | information and | Number and Other Unique | required under |
| 95 | Korea Credit | creditworthiness | Identification Information, | applicable laws and |
| | Information Services | | Information on | regulations that the |
| 96 | KCB | Detection of unusual | Delinquencies (Receivables), | institution must comply |
| | | transactions, prevention of | Creditworthiness | with |
| | | financial fraud, and | Assessment Information, | |
| | | development of related | Loan and Credit Transaction | |
| | | models by receiving credit | Information, and Public | |
| | | and delinquency information | Information | |
| 97 | Korea Federation of Banks | Pension Product Limit | Resident Registration | Retention period |
| | | Verification for Financial | Number and Other Unique | required under |
| | | Institutions | Identification Information | applicable laws and |
| | | 11 1511(0110) | | |

| No | Name of Company | Purpose of Information Provision | Provided Information | Maintenance-Use Period |
|-----|----------------------------|----------------------------------|------------------------------|-------------------------|
| | | | | regulations that the |
| | | | | institution must comply |
| | | | | with |
| 98 | Overseas Exchanges (ICE, | Requests for Data from | Name, Nationality, Address, | 5 years from the |
| | CME, EUREX, NYSE, HKEX, | Overseas Exchanges or | Account Number, | transaction termination |
| | etc.) | Derivatives Transactions | Transaction Information, | date |
| | Overseas Brokerage | | Unique Identification | |
| | Institutions (e.g., FCM) | | Information (Excluding | |
| | Overseas Regulatory | | Resident Registration | |
| | Authorities (e.g., SFC, | | Number), etc. | |
| | CSRC, CFTC, BaFin) | | | |
| 99 | Naver Financial Co., | Partnerships for Naver Pay | Last Four Digits of Account | Until membership is |
| | Ltd. | Point Accumulation Services | Number, Point | maintained |
| | | | Accumulation Information | |
| 100 | KB Kookmin Card Co., | Providing account balance | Account Number, Balance, | Until membership is |
| | Ltd. | and transaction information | Transaction History, Point | maintained |
| | | for point accumulation in KB | Accumulation Information | |
| | | Pay Partnership Account | | |
| | | Services | | |
| 101 | BGF Retail Co., Ltd. | Providing information for | Member ID, Point | Until membership is |
| | | point accumulation based on | Accumulation Information | maintained |
| | | transactions involving stocks | | |
| | | and financial products via | | |
| | | PocketCU Partnership | | |
| | | Account Services | | |
| 102 | SK Planet | Opening and issuance status | Name, CI, Customer Entity | Until membership |
| | | of partnership accounts, and | ID, Account ID, Account | withdrawal and closure |
| | | balance, transaction, and | Number, Account Type, | of partnership accounts |
| | | point accumulation history | Total Assets, Balance, | |
| | | inquiries according to Syrup | Deposits, Evaluation | |
| | | Partnership Account Services | Profit/Loss, Yield, Held | |
| | | | Stocks, Transaction Amount, | |
| | | | Transaction History, | |
| | | | Settlement Date, Point | |
| | | | Accumulation Amount, | |
| | | | New/Existing Classification | |
| 103 | Samsung Card | Utilization of Customer | Cl Value, Total Assets, Six- | From the date of |
| _ | - | Information for Card | Month Average Assets, | consent until the |
| | | Evaluations | Financial Income Amount | completion of card |
| | | | | review |
| 104 | Samsung Card | Monimo Service Usage | Monimo Customer | From the date of |
| 104 | Sumsung Caru | INICIALITIC SELVICE USAGE | | |

| No | Name of Company | Purpose of Information Provision | Provided Information | Maintenance-Use Period | |
|-----|----------------------|---|---------------------------------------|--------------------------|--|
| | | - Inquiry into subscription | Management Number, | consent until the | |
| | | details, informational content | Samsung Securities | withdrawal of Monimo | |
| | | provision, and Samsung | Customer Status, Online | membership | |
| | | Securities services via Monimo | Transaction Agreement | reement | |
| | | | Status | | |
| 105 | Samsung Life | Product and Service | Identity Verification Results | Up to 2 years from the | |
| | Insurance | Promotion and | (Cl, Dl), Monimo Customer | date of consent or until | |
| 106 | Samsung Fire & | Recommendations | Management Number, | consent is withdrawn | |
| | Marine Insurance | 1 Integration of personal | Occupation, Samsung | | |
| 107 | Samsung Card | (credit) information already | Securities Employee Status, | | |
| | | held by Samsung Card, | Withdrawal of Optional | | |
| | | Samsung Life Insurance, | Consent Status, Withdrawal | | |
| | | Samsung Fire & Marine | Date of Optional Consent, | | |
| | | Insurance, and Samsung | Evaluation Amount, | | |
| | | Securities for customer | Deposits (CMA, Domestic | | |
| | | information management, | Stocks, Overseas Stocks, | | |
| | | statistical analysis, and insights. | Stock Funds, Real Estate | | |
| | | Use of the analysis results | Funds, Bond Funds, Bonds, | | |
| | | of (1) to provide product and | ELS, Wrap, Retirement | | |
| | | service information and | Savings, IRP) Balance, Loan | | |
| | | recommendations from | Date, Loan Amount, Loan | | |
| | | Samsung Card, Samsung Life | Balance, Number of IPO | | |
| | | Insurance, Samsung Fire & | amsung Fire & Subscription Items, IPO | | |
| | | Marine Insurance, and | Subscription Amount, Stock | | |
| | | Samsung Securities. | Purchase Amount, Stock | | |
| | | ③ Use of the analysis results | Sale Amount, Fund | | |
| | | of (1) to inform customers | Purchase Amount, Fund | | |
| | | about benefits (e.g., gifts, | Sale Amount, Bond | | |
| | | promotional prizes, discount | Purchase Amount, Bond | | |
| | | coupons) offered by Samsung | Sale Amount, Days of Stock | | |
| | | entities. | Trading, Number of Stock | | |
| | | ④ Use of the analysis results | Trades, Frozen Receivable | | |
| | | of (1) for research and | Amount, Forced Sale | | |
| | | development of products and | Amount, Samsung | | |
| | | services by Samsung Card, | Securities Service Grade, | | |
| | | Samsung Life Insurance, | Management Sales | | |
| | | Samsung Fire & Marine | Employee Presence, | | |
| | | Insurance, and Samsung | Transaction Branch Name, | | |
| | | Securities. | Investment Profile | | |
| 108 | Korea Financial | Open Banking Service | Name, Date of Birth, Mobile | 5 years from the | |
| | Telecommunications & | Processing (Forwarding | Phone Number, Email, Cl, | transaction termination | |

| No | Name of Company | Purpose of Information Provision | Provided Information | Maintenance-Use Period |
|-----|-------------------------------|----------------------------------|---------------------------------|--------------------------|
| | Clearings Institute and | customer service application | Account Number | date |
| | Open Banking Joint | details) | | |
| | Financial Institutions | | | |
| 109 | Dunamu | Platform Partnerships for | Deposits, Held Stock | Until membership is |
| | | Information on Listed and | Names, Quantity by Stock, | maintained |
| | | Unlisted Stocks | Purchase Price | |
| 110 | KT Moving | Providing updated customer | Name, CI, Email, Mobile | Until the conclusion of |
| | | address information to | Phone Number, Address, | financial transactions |
| | | Samsung Securities and | Phone Number | |
| | | notifying customers of the | | |
| | | results | | |
| 111 | Korea Exchange (KRX) | Auditing unusual transactions | Name, Address, Mobile | Until the conclusion of |
| | | and issuing tax invoices | Phone Number, Phone | financial transactions |
| 112 | National Tax Service | related to gold bullion | Number, Email, Domestic | Until the conclusion of |
| | | accounts | Residence Registration | financial transactions |
| | | | Number, Account Number, | |
| | | | Gold Market-Related | |
| | | | Transaction History | |
| 113 | WireBarley | Overseas Micro-Remittance | Name, English Name, | Until the conclusion of |
| | | Services | Customer ID, Online Service | financial transactions |
| | | | ID, Nationality, Date of Birth, | |
| | | | Contact Information, | |
| | | | Account Number, Balance | |
| 114 | Hanwha Investment & | New Technology Business | Name, Investment Amount, | Until the conclusion of |
| | Securities | Partnership Funds | Proxy Information (Name, | financial transactions |
| | | | Date of Birth, Contact | |
| | | | Information, Relationship) | |
| 115 | Korea Securities | Application of Reduced Tax | English Name, English | 5 years after the |
| | Depository | Rates for KDR Dividends | Address, Country of | submission deadline for |
| | | | Residence Code, My | each item |
| | | | Number (Limited to Japan | |
| | | | Residents) | |
| 116 | Bithumb Korea | Digital Asset Price/Balance | CI Value | Immediate destruction |
| | | Inquiry Services | | |
| 117 | ML Investment | Utilizing the 160 App for | CI (Personal Identification | Until the transaction |
| | Advisory | public offering investments | Information), Account ID, | termination date (end of |
| | | | Customer Entity ID | the investment advisory |
| | | | | or discretionary |
| | | | | investment contract, or |
| | | | | withdrawal from the |
| | | | | Samsung Securities 160 |

| No | Name of Company | Purpose of Information Provision | Provided Information | Maintenance-Use Period |
|----|-----------------|----------------------------------|----------------------|------------------------|
| | | | | Service) |

Status of third parties

Trustees

The company has partially outsourced personal information processing tasks to external specialized companies to enhance service quality and ensure smooth fulfillment of contractual obligations. The entrusted companies handling personal information on behalf of the Company are as follows:

| No | Name of Company | Entrusted Operations Details | Entrusted Personal Information | Pers. in charge |
|----|-----------------|------------------------------|---|----------------------|
| 1 | Hyosung TNS | Deposit, withdrawal, and | Name, Account Number | Digital Support |
| 2 | NICE Infra | balance inquiries for | | Team |
| 3 | Hannet | automated devices (CD/ATM) | | 02-2020-8898 |
| 4 | Samsung SDS | Relay services for fund | Name, Account Number, | Digital Support |
| 5 | Hyphen | banking (e.g., automatic | Date of Birth | Team |
| | Corporation | transfers) | | 02-2020-8898 |
| 6 | Toss Payments | | | |
| 7 | SK Inc. | | | |
| 8 | Hecto Financial | | | |
| 9 | COOCON | | | |
| 10 | COOCON | Utilization of WeCheck | Name, Date of Birth, Resident | Channel |
| | | scraping services | g services Registration Number, Gender, and | |
| | | | Information from Family Relations | 02-2020-8989 |
| | | | Certificates and Basic Certificates | |
| 11 | Transcosmos | Dispatch of wrap service | Name, Address, Account Number, | Audit Team |
| | Korea | operation reports, | Transaction History, and Balance | 02-2020-7948 |
| | | month-end balances, and | | |
| | | transaction details | | |
| 12 | A&D Credit | Delivery of branch direct | Name, Resident Registration | Channel Solution |
| | Information | mail (DM) | Number, Address, Contact | Support Team |
| | | | Information, Account Number, | 02-2020-7732 |
| | | | Outstanding Balance, and | |
| | | | Transaction History | |
| 13 | Nara Credit | Debt collection | Name, Resident Registration | Channel Solution |
| | Information | | Number, Address, Contact | Support Team |
| | | | Information, Account Number, | 02-2020-7732 |
| | | | Outstanding Balance, and | |
| | | | Transaction History | |
| 14 | Tongin Safe | Asset inquiries | Name, Resident Registration | General Affairs Team |
| | Deposit | | Number, Account Number, Address, | 02-2020-7162 |
| | | | Contact Information, and Financial | |
| | | | Transaction History | |

| No | Name of Company | Entrusted Operations Details | Entrusted Personal Information | Pers. in charge |
|----|--------------------|-------------------------------|------------------------------------|--------------------|
| 15 | SK M&C Service | Dispatch of mobile gifts | Mobile Phone Number | Pension Marketing |
| | Co., Ltd. | | | Team |
| | | | | 02-2020-4587 |
| 16 | KT alpha | | | Digital Sales |
| | | | | Implementation |
| | | | | Team |
| | | | | 02-2020-8983 |
| 17 | Korea Post | Opening of bank-linked | Account Number | Digital Synergy |
| 18 | Saemaeul | accounts | | Team |
| | Geumgo (National | | | 02-2020-8897 |
| | Credit Union | | | |
| | Federation of | | | |
| | Korea) | | | |
| 19 | Kookmin Bank | | | |
| 20 | NH NongHyup | | | |
| | Bank | | | |
| 21 | Woori Bank | | | |
| 22 | Industrial Bank of | | | |
| | Korea (IBK) | | | |
| 23 | Standard | | | |
| | Chartered Bank | | | |
| | Korea (SC Bank | | | |
| | Korea) | | | |
| 24 | Citibank Korea | | | |
| 25 | Busan Bank | | | |
| 26 | Kyongnam Bank | | | |
| 27 | Gwangju Bank | | | |
| 28 | Daegu Bank | | | |
| 29 | KakaoBank | | | |
| 30 | K-Bank | | | |
| 31 | Toss Bank | | | |
| 32 | Hana Bank | Opening of bank-linked | Account Number | Digital Synergy |
| 33 | Shinhan Bank | accounts and foreign currency | | Team |
| | | deposit-linked accounts | | 02-2020-8897 |
| 34 | Tami Marketing | Operation of the dedicated | Name, Customer ID, Address, Mobile | Marketing Strategy |
| | | POP Honors Club call center | Phone Number, and Date of Birth | Team |
| | | and gift delivery services | | 02-2020-7168 |
| | | (product delivery) | | |
| 35 | Infobank | Sending SMS text messages | Mobile Phone Number | Operation |
| 36 | MTS | | | Development Team |
| 37 | SK Broadband | | | 02-3278-4648 |
| | | | | |

| No | Name of Company | Entrusted Operations Details | Entrusted Personal Information | Pers. in charge |
|----|------------------|------------------------------------|---------------------------------------|----------------------|
| | Co., Ltd. | • | | |
| 38 | КТ | | | |
| 39 | LGU+ | | | |
| 40 | MNWiz | Sending SMS text messages | Mobile Phone Number | Operation |
| | | | | Development Team |
| | | | | 02-3278-4648 |
| | | KakaoTalk notification service | Mobile Phone Number | Operation |
| | | | | Development Team |
| | | | | 02-3278-4648 |
| 41 | Samsung SDS | IT operations management | Name, Resident Registration | Information Strategy |
| | | | Number, Account Number, Address, | Team |
| | | | Contact Information, and Transaction | 02-3278-4043 |
| | | | History | |
| | | Comprehensive Managed | Resident Registration Number, | Information Strategy |
| | | Service Provider (MSP) | Foreign Registration Number, | Team |
| | | operations, including cloud | Passport Number, Name, Date of | 02-3278-4043 |
| | | environment management, | Birth, Gender, Nationality, Cl Value, | |
| | | billing/settlement, and security | Mobile Phone Number, Phone | |
| | | monitoring | Number, Address, and Email Address | |
| | | Statistical Analysis and | Call Center Counseling | AI Solution Team |
| | | Monitoring of Call Center | Contents(Name, Address, contact | 02-2020-8902 |
| | | Counseling Contents Using | Information, Email Address, Account | |
| | | Generative Al | Number, Card Number, Unique | |
| | | | Identification Information) | |
| 42 | Koscom | Blocking fraudulent electronic | Name, Account Number, | Family Center |
| | | financial transaction accounts | Transaction History, Mobile | 02-6230-3912 |
| | | and simultaneous suspension | Phone Number, Date of Birth, | |
| | | of personal accounts | and Address | |
| | | Utilization of a central relay | Account Number, Transaction | Digital Innovation |
| | | agency for the exercise of | History, Pension Account | Team |
| | | personal credit information | Information, IRP Inquiry Details, | 02-2020-8877 |
| | | transfer rights | etc. | |
| 43 | Korea Securities | Management services for retirement | 1. Details on retirement pension | Pension Strategy |
| | Depository (KSD) | pension operations | service providers, employers, and | Team |
| | | | employees. | 02-2040-4555 |
| | | | 2. Details on retirement pension | |
| | | | products selectable by employers or | |
| | | | employees. | |
| | | | 3. Details on unclaimed reserve types | |
| | | | defined by the Ministry of | |
| | | | Employment and Labor. | |

| No | Name of Company | Entrusted Operations Details | Entrusted Personal Information | Pers. in charge |
|----|---------------------|----------------------------------|-------------------------------------|----------------------|
| | | | 4. Other information deemed | |
| | | | necessary for services provided by | |
| | | | the Korea Securities Depository. | |
| 44 | MetaM | Inbound call handling | Name, Resident Registration | Family Center |
| | | | Number, Account Number, | 02-6230-3912 |
| | | | Address, and Contact | |
| | | | Information | |
| | | Review of recordings for | Name, Contact Information, | Compliance |
| | | incomplete sales of financial | Account Number, and | Management Team |
| | | products | Recorded Consultation Content | 02-2020-8307 |
| 45 | Weboss | Operation of Bancassurance | Name, Real Name Verification | Instrument |
| | | systems | Number, Account Number, | Operation and |
| | | | Address, and Contact | Development Team |
| | | | Information | 02-3278-4247 |
| 46 | NICE Credit | Issuance of substitute key | Resident Registration Number | Information Strategy |
| | Information Service | values for real-name | or Foreign Registration | Team |
| | | verification | Number | 02-3278-4121 |
| | | Conversion service for | Resident Registration Number | Digital Innovation |
| | | connection information | | Team |
| | | (CI values) | | 02-2020-8877 |
| 47 | Colgate | Services related to call screens | Mobile Phone Number | Family Center |
| | | (e.g., Visual ARS) | | 02-6230-3912 |
| 48 | SCI Credit | Mobile phone identity | Name, Resident Registration | Pension Strategy |
| | Information | verification | Number (Date of Birth, Gender), and | Team |
| | | | Mobile Phone Number | 02-2040-4541 |
| | | Conversion service for | Resident Registration Number | Digital Innovation |
| | | connection information | | Team |
| | | (CI values) | | 02-2020-8877 |
| 49 | Korea Mobile | Mobile phone identity | Name, Date of Birth, Gender, and | Channel |
| | Authentication | verification | Mobile Phone Number | Development Team |
| | | | | 02-3278-4335 |
| 50 | MultiCampus Co., | Forum operation | Name, Date of Birth, Mobile | Corporate |
| | Ltd. | | Phone Number, Email, | Consulting Team |
| | | | Company Name, and Position | 02-2020-6734 |
| | | Online IR services | Name, Date of Birth, Phone | |
| | | | Number, Email, Company | |
| | | | Name, and Position | |
| 51 | Danal | Identity verification | Name, Mobile Phone Number, | Channel |
| | | | Date of Birth, and Gender | Development Team |
| | | | | 02-3278-4335 |

| No | Name of Company | Entrusted Operations Details | Entrusted Personal Information | Pers. in charge |
|----|------------------|---|---|----------------------|
| 52 | Bespin Global | Cloud service operation | Resident Registration Number, | Information Strategy |
| | | for DW data servers | Foreign Registration Number, | Team |
| | | | Passport Number, Name, Date | 02-3278-4491 |
| | | | of Birth, Gender, Nationality, Cl | |
| | | | Value, Mobile Phone Number, | |
| | | | Phone Number, Address, and | |
| | | | Email Address | |
| 53 | Yonhap Infomax | Management of Mock | ID, Pseudonym(Nick Name) | Derivative Salse |
| | | investment competitions | Team | |
| | | | | 02-2020-4775 |
| 54 | ComplUp | Recording of door-to-door | Name, Contact Information, | Compliance |
| | | sales processes | Address, Investment | Management Team |
| | | | Preferences, Account Number, | 02-2020-8307 |
| | | | and Recorded Consultation | |
| | | | Content | |
| 55 | Law Firm Zion | Monitoring and review | Name, Mobile Phone Number, | Real Property PF |
| | | | Address, Email, Account | Team 3 |
| | | | Information by Financial | 02-2020-6972 |
| | | | Institution, Complete Loan | |
| | | | Transaction History, and Asset | |
| | | | Information | |
| 56 | Law Firm Bae, | Delegation of litigation | Name, Mobile Phone Number, | Channel Solution |
| | Kim & Lee LLC | (including payment orders) | Address, Email, Balance, and | Support Team |
| | (Taepyeongyang) | related to businesses with | Resident Registration Number | 02-2020-7732 |
| | | expired loan maturity | | |
| | | benefits | | |
| 57 | Notary Office of | Representation in | Name, Mobile Phone Number, | Channel Solution |
| | Hyo-Young Kim | provisional attachment of | Address, E-mail, Balance, Resident | Support Team |
| | | delinquent receivables and related tasks | Registration Number. | 02-2020-7732 |
| 58 | Hansol Inticube | IT operations management | Name, Resident Registration | Asset Management |
| 50 | Co., Ltd. | n operations management | Number, Account Number, Address, | Development Team |
| | CO., Ltd. | | Contact Information, Transaction | 02-3278-4260 |
| | | | History, and Recordings | 02 3210 4200 |
| 59 | Samsung Card | Monimo notification | Name, Mobile Phone Number, Monimo Team | |
| | cannot and | services | | |
| | | | Contract Information, Owned and 02-2020-8954 Interested Stocks | |
| 60 | Pentacreed | Trust/wrap/project | Name, Resident Registration | Instrument |
| | | financing (PF) system | Number, Account Number, Address, | Operation and |
| | | operations | Email, Contact Information, and | Development Team |
| | | | Transaction History | 02-3278-4509 |
| | | | | 02-3210-4303 |

| No | Name of Company | Entrusted Operations Details | Entrusted Personal Information | Pers. in charge | |
|----|-----------------|---|---|--|--|
| 61 | TBWA KOREA | Forwarding of event prizes and management of winners | Name, phone number, address, email, resident registration number (limited to prizes worth over 50,000 won) | Brand Strategy Team 02-2020-7865 | |
| 62 | SANGGONG | Development and operation of Samsung Global Investors Online Conference Platform | Name, email, mobile phone number, gender, affiliation and title | | |
| 63 | Gleeduck | Operation of CEO/CFO forums and other events | Name, phone number, company name and position | Consulting Team | |
| 64 | Feel So Good | Operation of CEO/CFO forums and other events | Name, phone number, company name and position | Corporate Consulting Team 02-2020-6725 | |
| 65 | WITHBATON | Operation of Samsung Global Investors Conference Events | Name, mobile phone number, email, company name and position | CRM Team 02-2020-6682 | |
| 66 | The SMC Group | Digital marketing agency services (e.g., event operations) | · · · · · · · · · · · · · · · · · · · | | |
| 67 | Kantar Korea | User research to promote stock trading in Monimo | Name and phone number | UX Core Team 02-2020-8642 | |
| 68 | Miracom Inc. | Operation of retirement pension system | Name, resident registration number, account number, address, email, contact and trading history | Product Development & Management Team 02-3278-4511 | |

| The status of sub-Trustees related to | the handling of personal informatic | n by the company is as follows: |
|---------------------------------------|-------------------------------------|---------------------------------|
| | | |

| NO | Trustee Companies | NO | Secondary Trustees | Sub-entrusted Operations |
|----|-------------------|----|----------------------|---|
| 1 | A&D Credit | 1 | Billpost | Postal mail dispatch |
| | Information | | | |
| 2 | KT Alpha | 2 | KT Corporation | Sending mobile coupon text messages |
| | | 3 | KT IS Co., Ltd. | Responding to mobile coupon VOC (Voice |
| | | | | of Customer) inquiries |
| | | 4 | KT DS Co., Ltd. | Mobile coupon text message dispatch |
| 3 | Tami Marketing | 5 | R.D. | IT management for Samsung Securities |
| | | | | HONORS services |
| | | 6 | Gabiyang | 2023 Samsung Securities VIP service: |
| | | | | Regular subscription to Gabiyang coffee |
| | | | | beans and Gabiyang drip bag 5-box set |
| | | 7 | Hotel Shilla | Samsung Securities Korean beef gift |
| | | | | delivery (customer outbound calls, delivery |
| | | | | management) |
| | | 8 | Hana Tour Co., Ltd. | Samsung Securities VIP partnership service: |
| | | | | Travel package support and reservations |
| | | 9 | Samsung Welstory | Samsung Securities HONORS gift dispatch |
| | | | | (Korean beef, fruits, appliances, and |
| | | | | miscellaneous items) |
| | | 10 | Ground K | Protocol services: Airport protocol, golf |
| | | | | chauffeurs, golf protocol, personal |
| | | | | chauffeurs |
| | | 11 | Community Chest of | Handling donations through Samsung |
| | | | Korea (Love Fruit) | Securities HONORS points, including |
| | | | | donation receipt issuance, registration of |
| | | | | donation information, and submission of |
| | | | | donation data to the National Tax Service |
| | | | | for year-end tax settlements |
| | | 12 | Show Golf | Samsung Securities HONORS weekday golf |
| | | | | rounds, and Personal Life Care Service golf |
| | | | | round booking assistance |
| | | 13 | Daou Technology Inc. | Dispatch of mobile coupons (Gifticon) |
| | | 14 | NH Agricultural | Gift dispatch services |
| | | | Cooperative Economic | |
| | | | Holdings (NongHyup | |
| | | | Economic Holdings) | |
| 4 | MNWiz | 15 | KT Corporation | Sending SMS text messages |

| NO | Trustee Companies | NO | Secondary Trustees | Sub-entrusted Operations |
|----|----------------------|----|-----------------------|--|
| | | 16 | Kakao | Sending notifications via KakaoTalk |
| | | | | Notification Messages |
| 5 | MultiCampus Co., | 17 | SureM Co., Ltd. | Provision of SMS text message dispatch |
| | Ltd. | | | systems |
| 6 | Hansol Inticube Co., | 18 | NHN Diquest Co., Ltd. | Solution operation within the IT |
| | Ltd | | | management system |
| | | 19 | Inwoo Technology Co., | IT system operation and management |
| | | | Ltd. | |
| | | 20 | Sandbox | Management of Jutopia Instagram channel |
| | | | | and prize dispatch |
| | | 21 | e4biz Co., Ltd. | Inquiry into unclaimed retirement pensions |
| 7 | Samsung SDS | 22 | Miracom I&C | Dispatch of mobile coupons (Gifticon) |
| | | 23 | Pentacreed | Management of AlertNow solution |
| 8 | Korea Securities | 24 | Korea Financial | Management of system malfunctions, cash |
| | Depository | | Telecommunications & | transportation, and customer complaints |
| | | | Clearings Institute | |
| | | | (KFTC) | |
| 9 | Bespin Global | 32 | OpsNow | Technical management of Cash |
| | | | | Management Services (CMS) |
| 10 | TBWA KOREA | 33 | 1 Gram | Handling of event prizes |
| 11 | SANGGONG | 34 | Gabia | Service construction and operation |
| | | 35 | Adcapsule Soft | Scraping test and verification of National |
| | | | Aucapsule Suit | Tax Service's website |
| 12 | The SMC Group | 36 | Giftishow | Transmit of mobile coupons (gifticon) |
| 13 | Kantar Korea | 37 | Research Innocean | Management of research data on the server |